

# Applying static analysis techniques for inferring termination conditions of logic programs (preliminary version)

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**Abstract.** We present the implementation of cTI, a system for universal left-termination *inference* of logic programs, which heavily relies on static analysis techniques.

Termination inference generalizes termination analysis/checking. Traditionally, a termination analyzer tries to prove that a given class of queries terminates. This class must be provided to the system, requiring user annotations. With termination inference such annotations are not necessary. Instead, all provably terminating classes to all related predicates are inferred at once.

The architecture of cTI is described and some optimizations are discussed. Running times for classical examples from the termination literature in LP and for some middle-sized logic programs are given.

## 1 Introduction

Termination is a crucial aspect of program verification. For logic programs [27, 3], the problem is of particular importance because *there is a priori no syntactic restriction on queries*. Termination has been the subject of many works in the last fifteen years in the logic programming community.

A first observation (see [42]) was to recognize that there were two notions of termination for logic programs which we explain now. Assume that we use a standard Prolog engine. *Existential* termination means that either the computation finitely fails or it produces one solution in finite time (then it may loop if we ask for another solution). On the other hand, *universal* termination means that the computation produces all solutions (if we repeatedly ask for another solution) in finite time then terminates. Although existential termination plays an important rôle in the termination of normal logic programs, this notion has severe drawbacks: it is not instantiation-closed (a goal may existentially terminate, hence it is not and-compositional (two goals may existentially terminate, but not their conjunction), but some of its instances may not terminate), and it depends on the textual order of clauses. Universal termination has none of these problematic features.

So existential termination has been the subject of a few papers (see e.g. [25, 28]). The research efforts were mainly on universal termination and can be divided in two groups (a survey is given in [20]): characterizing termination [4, 1, 34] and weakening such undecidable criteria to get decidable sufficient conditions (e.g. [41, 33, 43]) that lead to actual implementations. Our research belongs to both streams. A companion paper describes our approach in the theoretical setting of acceptability for constraint logic programming [31]. The present paper focuses on the implementation<sup>1</sup> of our ideas, which heavily relies on static analysis techniques.

Our main innovation compared to other recent works related to automated termination analysis [26, 16, 40, 10] is that we *infer* sufficient universal termination conditions from the text of any Prolog program. Inference implies that we adopt a bottom-up approach to termination. *There is no need to define a class of queries of interest.* We point out that giving a class of queries is imposed by all other works we are aware of (but if required, such classes can be easily simulated within our framework).

Our system, called cTI for *constraint-based Termination Inference*, can be used at the URL <http://www.complang.tuwien.ac.at/cti> and has been realized in SICStus Prolog. Currently the only requirement we impose on ISO-Prolog [18] programs to ensure correctness of the analysis is that they must not create infinite rational terms. Hence we only consider NTSO (*not subject to occur check*) programs [19] that can be safely executed with any standard complying system or an execution with occurs check. Our tool cTI is also available in the LP environment GUPU [32]. In what follows, we give an intuitive view of the analysis, some insights of its underlying, and running times for cTI.

## 2 An overview of cTI

Our aim is to compute classes of queries for which universal left termination is guaranteed.

**Definition 1.** *Let  $P$  be a Prolog program and  $q$  a predicate symbol of  $P$ . A termination condition for  $q$  is a set  $TC_q$  of goals of the form  $\leftarrow q(\tilde{t})$  such that, for any goal  $G \in TC_q$ , each derivation of  $G$  using the left-to-right selection rule is finite.*

Syntactic informations are often too weak to reason about non-trivial programs. Some semantics information is required. For this reason our analyzer uses three main constraint structures [23, 24]: Herbrand terms ( $\text{CLP}(\mathcal{H})$ ) for the initial program  $P$ , non-negative integers ( $\text{CLP}(\mathbb{N})$ ) and booleans ( $\text{CLP}(\mathcal{B})$ ) for approximating  $P$ . The correspondence between these structures relies on *approximations* [29], which are a simple form [21] of abstract interpretation [12, 13],

<sup>1</sup> A preliminary version of this paper was presented at the Workshop on Parallelism and Implementation Technology for Constraint Logic Programming Languages (ed. Ines de Castro Dutra), CL'2000, London.

also coined *abstract compilation*. We illustrate our method to infer termination conditions by using the predicates `app/3`, `app3/4`, and `nrev/2`.

$$\begin{array}{l} \text{app}([], \text{Xs}, \text{Xs}). \\ \text{app}([\text{X}|\text{Xs}], \text{Ys}, [\text{X}|\text{Zs}]) \leftarrow \\ \quad \text{app}(\text{Xs}, \text{Ys}, \text{Zs}). \end{array} \left| \begin{array}{l} \text{nrev}([], []). \\ \text{nrev}([\text{X}|\text{Xs}], \text{Ys}) \leftarrow \\ \quad \text{nrev}(\text{Xs}, \text{Zs}), \\ \quad \text{app}(\text{Zs}, [\text{X}], \text{Ys}). \end{array} \right| \begin{array}{l} \text{app3}(\text{Xs}, \text{Ys}, \text{Zs}, \text{Us}) \leftarrow \\ \quad \text{app}(\text{Xs}, \text{Ys}, \text{Vs}), \\ \quad \text{app}(\text{Vs}, \text{Zs}, \text{Us}). \end{array}$$

1. The initial Prolog program  $P$  is mapped to  $P^{\mathbb{N}}$ , a program in  $\text{CLP}(\mathbb{N})$  using an approximation based on a symbolic norm. In our example, we use the term-size norm:

$$\|t\|_{\text{term-size}} = \begin{cases} 1 + \sum_{i=1}^n \|t_i\|_{\text{term-size}} & \text{if } t = f(t_1, \dots, t_n), n > 0 \\ 0 & \text{if } t \text{ is a constant} \\ t & \text{if } t \text{ is a variable} \end{cases}$$

E.g.  $\|f(0, 0)\|_{\text{Term-Size}} = 1$ . All non-monotonic elements of the program are approximated by monotone constructs. E.g., Prolog's unsound negation `\+G` is approximated by `((G, false); true)`. The main point here is that we maintain that if a goal in  $P^{\mathbb{N}}$  is terminating, then also the corresponding goals in  $P$  terminate.

$$\begin{array}{l} \text{app}_{\mathbb{N}}(0, \text{Xs}, \text{Xs}). \\ \text{app}_{\mathbb{N}}(1+\text{X}+\text{Xs}, \text{Ys}, 1+\text{X}+\text{Zs}) \leftarrow \\ \quad \text{app}_{\mathbb{N}}(\text{Xs}, \text{Ys}, \text{Zs}). \end{array} \left| \begin{array}{l} \text{nrev}_{\mathbb{N}}(0, 0). \\ \text{nrev}_{\mathbb{N}}(1+\text{X}+\text{Xs}, \text{Ys}) \leftarrow \\ \quad \text{nrev}_{\mathbb{N}}(\text{Xs}, \text{Zs}), \\ \quad \text{app}_{\mathbb{N}}(\text{Zs}, 1+\text{X}, \text{Ys}). \end{array} \right| \begin{array}{l} \text{app3}_{\mathbb{N}}/4 \\ \text{same as} \\ \text{app3}/4 \end{array}$$

2. In  $\mathbb{N}$  we compute a model of all predicates. The model describes with a finite conjunction of linear equalities and inequalities the relations between the arguments of a goal (inter-argument relations *post*) that hold for every solution. The actual computation is performed with  $\text{CLP}(\mathbb{Q})$ , using a generic fixpoint calculator with a standard widening (see section 4). In our example we are able to determine the least model. In general, however, only a less precise model is determined. For each recursive predicate  $p$  (the only source of potential non-termination), we compute a linear level mapping (see section 5) called  $\mu_p$ . For instance, the meaning of  $\mu_{\text{app}}^{\mathbb{N}}$  is: for any ground recursive clause defining  $\text{app}_{\mathbb{N}}$ , the first and the third argument decrease. The meaning of  $\mu_{\text{rev}}^{\mathbb{N}}$  is: for any ground recursive clause defining  $\text{rev}_{\mathbb{N}}$ , the first argument decreases (we do not have to compare  $\text{nrev}_{\mathbb{N}}$  and  $\text{app}_{\mathbb{N}}$  at this step). The need of the numeric model of  $P$  is only justified by the need to compute a level mapping on a more semantical basis than by a purely syntactic approach.

(least) models	level mappings
$\text{post}_{\text{app}}^{\mathbb{N}}(x, y, z) \equiv z = x + y$	$\mu_{\text{app}}^{\mathbb{N}}(x, y, z) \equiv \min(x, z)$
$\text{post}_{\text{nrev}}^{\mathbb{N}}(x, y) \equiv x = y$	$\mu_{\text{nrev}}^{\mathbb{N}}(x, y) \equiv x$
$\text{post}_{\text{app3}}^{\mathbb{N}}(x, y, z, u) \equiv u = x + y + z$	—

3.  $P^{\mathbb{N}}$  is mapped to  $P^{\mathcal{B}}$ , a program in  $\text{CLP}(\mathcal{B})$ . Here 1 means that an argument is bounded w.r.t. the considered norm. Note that the obtained program

no longer maintains the same termination property. Its sole purpose is to determine the actual dependencies of boundedness within the program. The simplified structure allows us to always compute the least model. For each predicate, its previously computed linear level mapping is represented by a single boolean term.

$$\begin{array}{ccc}
\begin{array}{l}
\text{app}_{\mathcal{B}}(1, Xs, Xs). \\
\text{app}_{\mathcal{B}}(1 \wedge X \wedge Xs, Ys, 1 \wedge X \wedge Zs) \leftarrow \\
\text{app}_{\mathcal{B}}(Xs, Ys, Zs).
\end{array} & \left\{ \begin{array}{l}
\text{nrev}_{\mathcal{B}}(1, 1). \\
\text{nrev}_{\mathcal{B}}(1 \wedge X \wedge Xs, Ys) \leftarrow \\
\text{nrev}_{\mathcal{B}}(Xs, Zs), \\
\text{app}_{\mathcal{B}}(Zs, 1 \wedge X, Ys).
\end{array} \right. & \left\{ \begin{array}{l}
\text{app3}_{\mathcal{B}}/4 \\
\text{same as} \\
\text{app3}/4
\end{array} \right. \\
\text{least models} & & \text{level mappings} \\
\text{post}_{\text{app}}^{\mathcal{B}}(x, y, z) & \equiv (x \wedge y) \leftrightarrow z & \mu_{\text{app}}^{\mathcal{B}}(x, y, z) \equiv x \vee z \\
\text{post}_{\text{nrev}}^{\mathcal{B}}(x, y) & \equiv x \leftrightarrow y & \mu_{\text{nrev}}^{\mathcal{B}}(x, y) \equiv x \\
\text{post}_{\text{app3}}^{\mathcal{B}}(x, y, z, u) & \equiv (x \wedge y \wedge z) \leftrightarrow u & \text{---}
\end{array}$$

4. Using all previously determined informations,  $P^{\mathcal{B}}$  is translated into the following system of boolean fixpoint formulæ that ensures the propagation of the finiteness of the level mappings through the call graph and the Prolog dataflow.

$$\begin{array}{l}
\text{pre}_{\text{app}} = \nu A. \lambda(b, c, d). \left\{ \begin{array}{l}
b \vee d \\
\wedge \\
\forall f, g, h. [(f \wedge g \leftrightarrow b) \wedge (f \wedge h \leftrightarrow d)] \rightarrow A(g, c, h)
\end{array} \right. \\
\text{pre}_{\text{nrev}} = \nu A. \lambda(b, c). \left\{ \begin{array}{l}
b \\
\wedge \\
\forall d, e, f. [d \wedge e \leftrightarrow b] \rightarrow A(e, f) \\
\wedge \\
\forall d, e, f. [(f \leftrightarrow e) \wedge (d \wedge e \leftrightarrow b)] \rightarrow \text{pre}_{\text{app}}(f, d, c)
\end{array} \right. \\
\text{pre}_{\text{app3}} = \nu A. \lambda(b, c, d, e). \left\{ \begin{array}{l}
\forall f. 1 \rightarrow \text{pre}_{\text{app}}(b, c, f) \\
\wedge \\
\forall f. [f \leftrightarrow c \wedge b] \rightarrow \text{pre}_{\text{app}}(f, d, e)
\end{array} \right.
\end{array}$$

The resolution of this system (computation of the greatest fixpoint by means of a boolean  $\mu$ -solver [11]) gives, for each predicate symbol, its boolean termination condition:

$$\begin{array}{l}
\text{pre}_{\text{app}}(x, y, z) \quad \equiv x \vee z \\
\text{pre}_{\text{nrev}}(x, y) \quad \equiv x \\
\text{pre}_{\text{app3}}(x, y, z, u) \equiv (x \wedge y) \vee (x \wedge u)
\end{array}$$

These boolean termination conditions lift to termination conditions (definition 1) with the following interpretation:

- any goal  $\leftarrow c, \text{app}(X, Y, Z)$ , where  $c$  is a  $\text{CLP}(\mathcal{H})$  constraint, left-terminates if  $X$  or  $Z$  are ground in  $c$ .
- any goal  $\leftarrow c, \text{nrev}(X, Y)$  left-terminates if  $X$  is ground in  $c$ .
- any goal  $\leftarrow c, \text{app3}(X, Y, Z, U)$  left-terminates if either  $X$  and  $Y$  are ground in  $c$  or  $X$  and  $U$  are ground in  $c$ .

The correctness of the analysis is based on is the following result [29, 31]:

**Theorem 1.** *Let  $P$  be a program,  $p$  and  $q$  be two predicate symbols of  $P$ . Assume that  $p$  is defined by  $m_p$  rules  $r_k: p(\tilde{x}) \leftarrow c_k, p_{k,1}(\tilde{x}_{k,1}), \dots, p_{k,n_k}(\tilde{x}_{k,n_k})$  and for each  $q \notin \bar{p}$  and appearing in the rules defining  $\bar{p}$ , a boolean termination condition  $pre_q$  has been computed. If the set of boolean terms  $\{pre_p\}_{p \in \bar{p}}$  verifies:*

$$\forall p \in \bar{p} \left\{ \begin{array}{l} pre_p(\tilde{x}) \rightarrow_{\mathcal{B}} \mu_p^{\mathcal{B}}(\tilde{x}), \\ [\forall 1 \leq k \leq m_p, \forall 1 \leq j \leq n_k, \\ (pre_p(\tilde{x}) \wedge c_k^{\mathcal{B}} \wedge \bigwedge_{i=1}^{j-1} post_{p_{k,i}}^{\mathcal{B}}(\tilde{x}_{k,i})) \rightarrow_{\mathcal{B}} pre_{p_{k,j}}(\tilde{x}_{k,j})] \end{array} \right.$$

then  $\{pre_p\}_{p \in \bar{p}}$  is a correct boolean termination condition for  $\bar{p}$ .

### 3 Running cTI

#### 3.1 Standard programs from the termination literature in LP

Tables 1 and 2 presents timings and results of cTI using some standard LP termination benchmarks, where the following abbreviations mean:

- *cTI time*: the running time for cTI to infer termination conditions;
- *top-level predicate*: the predicate of interest;
- *Others: checked*: the class of queries checked by the analyzers of [17, 26, 40];
- *result*: the best result ( $y > n > ?$ ) among [17, 26, 40];
- *cTI: inferred*: the termination condition inferred by cTI (1 means that any call to the predicate terminates, 0 means that cTI can not find a terminating mode for that predicate);

For the MERGESORT (and similarly for MERGESORT\_AP), the problem lies in the *split/3* predicate, aiming at splitting a list (the first argument) in two sublists (the second and third argument) which length are *almost* equal:

```
split([], [], []).
split([X|Xs], [X|Ys], Zs) :- split(Xs, Zs, Ys).
```

Using the *term\_size* norm, depending on the precision of the numeric abstract interpreter (see section 4), we have:

$$\begin{aligned} prec \leq 1 &\Rightarrow post_{split}^{\mathbb{N}}(x, y, z) \equiv true \\ prec \geq 2 &\Rightarrow post_{split}^{\mathbb{N}}(x, y, z) \equiv x = y + z \end{aligned}$$

Switching to the *list\_size* norm defined as follows:

$$\|t\|_{list-size} = \begin{cases} 1 + \|u\|_{list-size} & \text{si } t = [s|u] \\ t & \text{si } t \text{ est une variable} \\ 0 & \text{sinon} \end{cases}$$

**Table 1.** Programs from [20, 2], cTI 0.29, Athlon 750 MHz, 256Mo, SICStus 3.8.4

times in [s]	cTI		Others:		cTI:
program	time	top-level predicate	checked	result	inferred
PERMUTE	0.15	$permute(x, y)$	$x$	yes	$x$
DUPLICATE	0.05	$duplicate(x, y)$	$x$	yes	$x \vee y$
SUM	0.18	$sum(x, y, z)$	$x \wedge y$	yes	$x \vee y \vee z$
MERGE	0.26	$merge(x, y, z)$	$x \wedge y$	yes	$(x \wedge y) \vee z$
DIS-CON	0.24	$dis(x)$	$x$	yes	$x$
REVERSE	0.08	$reverse(x, y, z)$	$x \wedge z$	yes	$x$
APPEND	0.09	$append(x, y, z)$	$x \wedge y$	yes	$x \vee z$
LIST	0.01	$list(x)$	$x$	yes	$x$
FOLD	0.10	$fold(x, y, z)$	$x \wedge y$	yes	$y$
LTE	0.13	$goal$	1	yes	1
MAP	0.09	$map(x, y)$	$x$	yes	$x \vee y$
MEMBER	0.03	$member(x, y)$	$y$	yes	$y$
MERGESORT	0.43	$mergesort(x, y)$	$x$	no	0
MERGESORT	0.57	$mergesort(x, y)$	$x$	no	$x$
MERGESORT_AP	0.79	$mergesort\_ap(x, y, z)$	$x$	yes	$z$
MERGESORT_AP	0.92	$mergesort\_ap(x, y, z)$	$x$	yes	$x \vee z$
NAIVE_REV	0.12	$naive\_rev(x, y)$	$x$	yes	$x$
ORDERED	0.04	$ordered(x)$	$x$	yes	$x$
OVERLAP	0.05	$overlap(x, y)$	$x \wedge y$	yes	$x \wedge y$
PERMUTATION	0.15	$permutation(x, y)$	$x$	yes	$x$
QUICKSORT	0.39	$quicksort(x, y)$	$x$	yes	$x$
SELECT	0.08	$select(x, y, z)$	$y$	yes	$y \vee z$
SUBSET	0.09	$subset(x, y)$	$x \wedge y$	yes	$x \wedge y$
SUBSET	0.09	$subset(x, y)$	$y$	no	$x \wedge y$
SUM	0.12	$sum(x, y, z)$	$z$	yes	$y \vee z$

we get:

$$\begin{aligned}
 prec \leq 1 &\Rightarrow post_{split}^{\text{IN}}(x, y, z) \equiv true \\
 prec = 2 &\Rightarrow post_{split}^{\text{IN}}(x, y, z) \equiv x = y + z \\
 prec \geq 3 &\Rightarrow post_{split}^{\text{IN}}(x, y, z) \equiv x = y + z \wedge 0 \leq y - z \leq 1
 \end{aligned}$$

This last model is sufficiently precise for proving termination of the considered programs.

### 3.2 Middle-sized programs

Table 4 presents timings of cTI using some standard benchmarks<sup>2</sup> from the LP program analysis community. We have chosen twelve middle-sized well-known

<sup>2</sup> collected by Naomi Lindenstrauss, [www.cs.huji.ac.il/~naomil](http://www.cs.huji.ac.il/~naomil) and also available at [www.complang.tuwien.ac.at/cti/bench](http://www.complang.tuwien.ac.at/cti/bench).

**Table 2.** programs from [33], cTI 0.29, Athlon 750 MHz, 256Mo, SICStus 3.8.4

times in [s] program	cTI time	top-level predicate	Others: checked	result	cTI: inferred
PL1.1	0.08	append(x,y,z)	$x \wedge y$	yes	$x \vee z$
PL1.1	0.08	append(x,y,z)	$z$	yes	$x \vee z$
PL1.2	0.16	perm(x,y)	$x$	yes	$x$
PL2.3.1	0.01	p(x,y)	$x$	no	0
PL3.1.1	0.09	a	?	?	0
PL3.5.6	0.05	p(x)	1	no	$x$
PL3.5.6A	0.06	p(x)	1	yes	$x$
PL3.5.6A	0.06	p(x)	1	yes	1
PL4.0.1	0.10	append3(x,y,z,t)	$x \wedge y \wedge z$	yes	$(x \wedge y) \vee (x \wedge t)$
PL4.4.3	0.26	merge(x,y,z)	$x \wedge y$	yes	$(x \wedge y) \vee z$
PL4.4.6A	0.12	perm(x,y)	$x$	yes	$x$
PL4.5.2	0.17	s(x,y)	$x$	no	0
PL4.5.3A	0.01	p(x)	$x$	no	0
PL4.5.3B	0.02	goal	?	?	0
PL4.5.3C	0.01	goal	?	?	0
PL5.2.2	3.41	turing(x,y,z,t)	$x \wedge y \wedge z$	no	0
PL6.1.1	0.39	qsort(x,y)	$x$	yes	$x$
PL7.2.9	0.21	mult(x,y,z)	$x \wedge y$	yes	$x \wedge y$
PL7.6.2A	0.14	reach(x,y,z)	$x \wedge y \wedge z$	no	0
PL7.6.2B	0.22	reach(x,y,z,t)	$x \wedge y \wedge z \wedge t$	no	0
PL7.6.2C	0.29	reach(x,y,z,t)	$x \wedge y \wedge z \wedge t$	yes	$z \wedge t$
PL8.2.1	0.43	mergesort(x,y)	$x$	no	0
PL8.2.1	0.58	mergesort(x,y)	$x$	no	$x$
PL8.2.1A	0.47	mergesort(x,y)	$x$	yes	$x$
MERGESORT_T	0.94	mergesort(x,y)	$x$	yes	$x$
PL8.3.1	0.26	minsort(x,y)	$x$	no	$x \wedge y$
PL8.3.1A	0.24	minsort(x,y)	$x$	yes	$x$
PL8.4.1	0.13	even(x)	$x$	yes	$x$
PL8.4.2	0.52	e(x,y)	$x$	yes	$x$

logic programs. Almost all the programs are taken from [7] except CREDIT, PLAN and MINISSAEXP. Table 3 describes them, where the following abbreviations mean:

- *lines* is the number of lines of the Prolog program in pure form (e.g. no disjunction), with one predicate symbol per line and no blank line;
- *facts* and *rules* denote, respectively, the numbers of facts (unit clauses) and rules (non-unit clauses) in the program;
- *sccs* gives the number of strongly connected components (sccs, i.e. cycles of mutually recursive predicate symbols) in the call graph;
- *length* denotes the number of predicate symbols in the longest cycle in the call graph;

- *vars* denotes the sum of the arities of the predicate symbols of the longest cycle in the call graph.

**Table 3.** Informations about analyzed programs.

Program	lines	facts	rules	sccs	length	vars
ANN	571	101	99	44	2	7
BID	108	24	26	20	1	4
BOYER	275	63	78	25	2	5
BROWSE	107	4	29	15	1	6
CREDIT	108	33	24	24	1	4
MINISSAEXP	833	37	223	100	5	17
PEEPHOLE	322	72	80	11	2	5
PLAN	64	12	17	16	1	4
QPLAN	403	63	87	38	3	11
RDTOK	285	7	57	12	4	12
READ	299	15	75	17	7	33
WARPLAN	304	43	68	33	3	14

The first five columns of Table 4 indicate the time for computing:

- a model  $Post_{\mathcal{N}}$  (section 4);
- the constraint defining the level mapping  $\mu$  (section 5);
- the concrete level mapping;
- the least model  $Post_{\mathcal{B}}$ ;
- the boolean termination conditions.

The timings are minimum execution times over ten iterations. Next we give:

- the total runtime (including various syntactic transformations);
- the speed of the analysis (the average number of analyzed lines of code in one second);
- the quality of the analysis, computed as the ratio of the number of relations which have a non-empty termination condition over the total number of relations.

Let us comment on the results of Table 4.

The speed of the analysis is surprisingly slower for PEEPHOLE than for the other programs. A more careful look on its code shows that its call graph contains 5 cycles of length 2, which slow down the computation of the constraints defining the level mapping.

We note that cTI was able to prove that BID, CREDIT, and PLAN are *left-terminating* (see [3], every ground atom left-terminates). For any such program



$P$ ,  $T_P$  has only one fixpoint ([3], Theorem 8.13), which helps for proving partial correctness. Moreover, the ground semantics of such a program is decidable (Prolog is the decision procedure !), which helps for testing and validating the program.

**Table 4.** middle-sized programs, cTI 0.29, Athlon 750 MHz, 256Mo, SICStus 3.8.4

times in [s] program	$Post_{\mathcal{N}}$	$C_{\mu}$	$\mu$	$Post_{\mathcal{B}}$	TC	total time	lines/sec	q %
ANN	1.07	2.62	0.17	0.46	0.13	5.43	105	28
BID	0.17	0.33	0.03	0.09	0.04	0.81	133	70
BOYER	2.55	0.36	0.03	0.25	0.05	3.91	70	75
BROWSE	0.37	1.01	0.08	0.12	0.03	1.81	59	30
CREDIT	0.12	0.18	0.04	0.07	0.03	0.61	177	87
MINISSAEXP	2.98	4.77	0.49	0.73	0.38	11.03	75	65
PEEPHOLE	1.24	8.94	0.14	0.47	0.12	11.69	28	49
PLAN	0.13	0.32	0.03	0.09	0.03	0.71	90	58
QPLAN	1.52	4.32	0.23	0.62	0.16	7.56	53	50
RD TOK	1.22	0.95	0.07	0.26	0.05	2.92	98	23
READ	1.05	5.25	0.03	0.34	0.16	7.29	41	39
WARPLAN	0.82	1.67	0.03	0.27	0.03	3.18	96	23
mean	23%	54%	2%	7%	2%	100%	85	50%

## 4 Fixpoint Computations

As explained in section 2, we have to compute some models of two versions of the initial program:  $P^{\mathcal{N}}$ , the CLP( $\mathcal{N}$ ) version, and  $P^{\mathcal{B}}$ , the CLP( $\mathcal{B}$ ) version. To this aim, we have developed an abstract immediate consequence operator  $U_P$ . This operator is quite similar to the well-known  $T_P$ . This section borrows numerous results found in [12, 15, 13, 14].

### 4.1 The algorithm

The key of our abstract computation is the notion of *rational interpretation* for a predicate symbol  $p$ :

**Definition 2.** Let  $P$  be a program and  $p$  be a predicate symbol of  $P$ . We call a *rational interpretation* of  $p$  an equivalence of the form:  $p(\tilde{x}) \leftrightarrow c$  where  $c$ , a disjunction of conjunctions of atomic constraints, is a formula s.t.  $\text{vars}(c) \subseteq \tilde{x}$ . We extend this notion to  $P$ : a *rational interpretation* of  $P$  is a set  $I$  containing exactly one interpretation for each predicate symbol  $p$  of  $P$ .

We write  $\mathcal{I}$  the set of all rational interpretations. We want to compute a rational interpretation which is a model of  $P$ . To this end, we define below an operator  $U_P$ , where we impose  $\bigvee(\tilde{x}) \supseteq \cup\tilde{x}$ .

**Definition 3.**  $U_P$  is a function on  $\mathcal{I}$  defined for any rational interpretation  $I$  of a program  $P$  by:

$$U_P(I) = \{p(\tilde{x}) \leftrightarrow c \mid c \equiv \bigvee_{cl \in P} \left( \exists_{-\tilde{x}} (c_0 \wedge \bigwedge_{1 \leq i \leq n} c_i) \right), \\ cl \equiv p(\tilde{x}) \leftarrow c_0 \diamond p_1(\tilde{x}_1), \dots, p_n(\tilde{x}_n) \text{ and} \\ \forall i \in \llbracket 1; n \rrbracket, p_i(\tilde{x}_i) \leftrightarrow c_i \in I\}$$

We define the successive powers of  $U_P$  as usual. It turns out that  $U_P$  is monotone and continuous. Now let us establish a link between the meaning of a program  $P$  and the  $U_P$  operator. First, we give a ground semantics of a rational interpretation:

**Definition 4.** Let  $I$  be a rational interpretation, we define the semantics of  $I$  by:  $[I] = \{p(\tilde{d}) \mid p(\tilde{x}) \leftrightarrow c \in I, \tilde{d} \in \tilde{D}_\chi, \models_\chi c(\tilde{d})\}$  where  $D_\chi$  is the domain of computation.

For any interpretation  $I$ , we have:  $T_P([I]) \subseteq [U_P(I)]$ . Now, as a fixpoint  $I$  of  $U_P$  verifies  $T_P([I]) \subseteq [U_P(I)] = [I]$ , we get: any fixpoint of  $U_P$  is a model of  $P$ .

For  $\text{CLP}(\mathcal{B})$ ,  $\bigvee$  is the union of two constraints. Hence we have  $T_P([I]) = [U_P(I)]$ . It justifies the use of  $U_P$  for computing the least boolean model ( $= \text{lfp}(U_P)$ ) of  $P$ . Figure 1 presents an algorithm for the  $U_P$  operator.

---

```

function  $U_P(I) : J$ 
Require:  $I$  is a rational interpretation of  $P$ .
Ensure:  $J$  is a rational interpretation of  $P$ .

1:  $J \leftarrow \emptyset$ ;
2: for all clause( $p(\tilde{x}) \leftarrow c \diamond p_1(\tilde{x}_1), \dots, p_n(\tilde{x}_n)$ )  $\in P$  do
3:   for  $i \leftarrow 1$  to  $n$  do
4:     let  $p_i(\tilde{x}_i) \leftrightarrow c_i \in I$ ;
5:   end for
6:   let  $p(\tilde{x}) \leftrightarrow c' \in J$ ;
7:    $c \leftarrow \bigvee(c', \Pi_{\tilde{x}}(c_1 \wedge \dots \wedge c_n))$ ;
8:    $J \leftarrow \text{update}(J, p(\tilde{x}) \leftrightarrow c)$ ;
9: end for
10: return  $J$ ;

```

---

**Fig. 1.**  $U_P$ , a  $T_P$ -like operator.

## 4.2 Widenings

For  $\text{CLP}(\mathbb{Q})$ ,  $\text{lfp}(U_P)$  is not, in general, reachable in finite time. That is the reason why a *widening* operator ( $\nabla$ ) [12] is used. The widening operator is used to force convergence of the  $U_P$  operator. This operator has a major impact on the precision and the speed of the computation. In cTI, we adopt such an approach for the numeric model computation only since the least boolean model is finitely reached. However, we have coded a *generic* fixpoint calculator for both  $\text{CLP}(\mathbb{Q})$  and  $\text{CLP}(\mathcal{B})$  [8, 22, 30]. A simple widening ( $\nabla_1$ ) on system of linear inequalities can be found in [15]. This is an equivalent definition [35]:

**Definition 5.** *Let  $S_1$  and  $S_2$  be two sets of linear inequalities defining two polyhedra in  $\mathbb{Q}^n$ . Then:*

$$S_1 \nabla_1 S_2 = \{\beta \in S_1 \mid S_2 \Rightarrow \beta\}$$

$\nabla_2$  (see [14]) is an improved version of  $\nabla_1$ , which is simplified for efficiency in [36]:

**Definition 6.** *Let  $S_1$  and  $S_2$  be two sets of linear inequalities defining two polyhedra in  $\mathbb{Q}^n$ . Then:*

$$S_1 \nabla_2 S_2 = \{\beta \in S_1 \mid S_2 \Rightarrow \beta\} \cup \{\gamma \in S_2 \mid S_1 \Rightarrow \gamma \wedge \exists \beta \in S_1 ((S_1 - \{\beta\}) \cup \{\gamma\}) \Rightarrow \beta\}$$

Tests to determinate the impact of using  $\nabla_1$  or  $\nabla_2$  on the accuracy of cTI are under construction. Figure 2 presents an algorithm for successive iterations of  $U_P$  until it reaches a fixpoint. In the current implantation of cTI, for  $\text{CLP}(\mathbb{Q})$ , *prec* is set to 2.

It remains to show that  $I_n = \text{ite}_{U_P}(\text{prec})$  is a model of  $P$ . First, note that, by induction on  $k$ ,  $I_k \subseteq I_{k+1}$ . So we have in fact an equality when we reach line 11 for the last time:  $I_n = I_{n-1}$ . Then the last assignment for  $I_n$  is either line 7 if  $n \leq \text{prec}$ . In this case, we have  $I_n = U_P(I_n)$  hence  $T_P([I_n]) \subseteq [I_n]$ . Or the last assignment for  $I_n$  is line 9:  $I_n \leftarrow I_n \nabla U_P(I_n) \supseteq U_P(I_n)$  by definition of any widening operator  $\nabla$ . But we know that  $T_P([I]) \subseteq [U_P(I)]$  for all  $I$ . Again,  $T_P([I_n]) \subseteq [I_n]$ .

## 4.3 Optimization

Since the fixpoint computation engine is used twice, making it as efficient as possible is quite important. *The current optimization takes all unit clauses defining the predicate symbols of the analyzed scc into account in a single pass and then processes only the non-unit clauses of the scc.* Table 5 shows the timings between the non-optimized version of  $U_P$  and the optimized version. Note that we also replace the union operator  $\bigvee$  of line 7 of the algorithm presented Fig. 1 by a convex hull (in both versions for  $\text{CLP}(\mathbb{Q})$ , *opt* and *nopt*), which can be easily coded via projection in  $\text{CLP}(\mathbb{Q})$  using a trick which first appears in [5] (see also [6]).

---

```

function ite_ $\mathbb{U}_P$ ( $prec$ ) :  $I_n$ 
Require:  $prec$  is a non-negative integer.
Ensure:  $I_n$  is a rational interpretation such that  $\text{lfp}(\mathbb{U}_P) \subseteq I_n$ .

1:  $n \leftarrow 0$  ;
2:  $I_n \leftarrow \emptyset$  ;
3: repeat
4:    $I \leftarrow \mathbb{U}_P(I_n)$  ;
5:    $n \leftarrow n + 1$  ;
6:   if  $n \leq prec$  then
7:      $I_n \leftarrow I$  ;
8:   else
9:      $I_n \leftarrow I_{n-1} \nabla I$  ;
10:  end if
11: until  $I_n \subseteq I_{n-1}$ 
12: return  $I_n$  ;

```

---

**Fig. 2.** An algorithm to finitely reach a super set of  $\text{lfp}(\mathbb{U}_P)$ .

## 5 Computing level-mappings

One key concept in many approaches for termination lies in the use of *level mappings*, i.e. mappings from ground atoms to natural numbers. We present an improvement of an already known technique for their automatic generation. Indeed, K. Sohn and A. Van Gelder have described in 1991 an algorithm (SVG in short, see [38]) based on linear programming which ensures the existence of linear level mappings. This method, despite its power, does not seem to be very well-known among researchers aiming at automating termination. Hence we recall it after some preliminaries. Then, the remaining subsections propose an extensions to SVG.

### 5.1 Preliminaries

We consider pure CLP( $\mathbb{N}$ ) programs, with three predefined symbols for constraints:  $=$ ,  $\geq$ , and  $\leq$  and their standard meaning. Those programs are abstractions of (constraint) logic programs using (fixed or inferred) norms. We assume that clauses are written in flat form:  $p_0(\tilde{x}_0) \leftarrow c_0, p_1(\tilde{x}_1), c_1, \dots, c_{l-1}, p_l(\tilde{x}_l), c_l$ , with  $i \neq j \rightarrow \tilde{x}_i \cap \tilde{x}_j = \emptyset$  (where  $\emptyset$  denotes the empty set). For sake of concision, we disallow *mutually recursive predicates* (this restriction does not apply to cTI). Note that we frequently switch to CLP( $\mathbb{Q}^+$ ) as some computational problems in this structure are much cheaper (e.g. satisfiability). There is clearly a loss in the precision of the analysis: results are correct but not complete. From now on, we write CLP for CLP( $\mathbb{N}$ ) or CLP( $\mathbb{Q}^+$ ). Section 4 shows how we can compute a model  $M$  for a CLP program  $P$ , where each predicate  $p(\tilde{x})$  is defined as a (finite) conjunction of CLP constraints. We use this model to simplify the program  $P$ .

**Table 5.** Impact of the optimization on the analysis times.

times in [s]	$Post_N$			$Post_E$		
	opt	nopt	gain	opt	nopt	gain
ANN	1.07	1.33	20%	0.46	0.65	29%
BID	0.17	0.27	37%	0.09	0.14	35%
BOYER	2.55	3.48	27%	0.25	0.48	48%
BROWSE	0.37	0.35	-5%	0.12	0.15	20%
CREDIT	0.12	0.19	37%	0.07	0.13	46%
MINISSAEXP	2.98	2.74	-9%	0.73	1.17	38%
PEEPHOLE	1.24	1.35	8%	0.47	0.63	25%
PLAN	0.13	0.20	35%	0.09	0.13	31%
QPLAN	1.52	1.88	19%	0.62	0.86	28%
RD TOK	1.22	0.70	-74%	0.26	0.29	10%
READ	1.05	1.26	17%	0.34	0.50	32%
WARPLAN	0.82	0.96	15%	0.27	0.37	27%
average			11%			31%
min.			-74%			10%
max.			37%			48%

**Definition 7.** Let  $M_P$  be a model of the CLP program  $P$ . The definition of a predicate  $p$  is simplified wrt  $M$  when, for the clauses defining  $p/n$ , we add to the right of each predicate  $q(\tilde{x})$  its meaning  $c_q(\tilde{x})$  relative to  $M_P$ . Moreover, those predicates  $q/m \neq p/n$  which appear in the bodies are replaced by true (e.g. the dummy constraint  $0 = 0$ ). Hence we end with a finite set of CLP clauses of the form:  $p(\tilde{x}_0) \leftarrow c_0, p(\tilde{x}_1), c_1, \dots, c_{l-1}, p(\tilde{x}_l), c_l$ . The simplified program is denoted  $P_M^{simpl}$ .

We are interested in the automatic discovery of linear level mappings.

**Definition 8.** Let  $p/n$  be a recursive predicate symbol of a CLP program  $P$ . A linear level mapping  $\mu$  for  $p(x_1, \dots, x_n)$  is a linear relation  $\sum_{i=1}^n \mu_i x_i$ , where the coefficients  $\mu_i$  are non-negative integers.

Such linear level mappings should satisfy a property ensuring their usefulness for left-termination:

**Definition 9.** A linear level mapping  $\mu$  for  $p$  is valid wrt  $P_{M_P}^{simpl}$  if for each clause recursive defining  $p$  in  $P_M^{simpl}$ , say  $p(\tilde{x}_0) \leftarrow c_0, p(\tilde{x}_1), c_1, \dots, c_{l-1}, p(\tilde{x}_l), c_l$ , for  $k = 0$  to  $l - 1$ ,  $\bigwedge_{i=0}^k c_i \rightarrow \mu^T \tilde{x}_0 \geq 1 + \mu^T \tilde{x}_k$ , where  $\mu^T$  denotes the transpose of the vector  $\mu$ .

## 5.2 The algorithm SVG

Let us first quickly review the algorithm of Sohn and Van Gelder. It aims at checking the existence of one valid linear level mapping. SVG starts with a pure

CLP program  $P$  and a constrained goal. A top-down boundedness analysis (see [29, 30]) reveals the calling modes of each predicate. Arguments are detected as either bounded (denoted  $b$ ) or unbounded ( $u$ ). A CLP model  $M$  is computed and  $P$  is simplified to  $P_M^{simpl}$ . Then SVG examines each recursive procedure  $p/n$  in turn (the precise order does not matter). Let us symbolically define the level mapping for  $p(x_1, \dots, x_n)$  as  $\mu^T \tilde{x} = \sum_{1 \leq i \leq n} \mu_i^u \text{ or } \mu_i^b x_i$  where  $\mu_i^u = 0$  if  $x_i$  is labelled as unbounded wrt the calling mode of  $p/n$  and  $\mu_i^b \geq 0$  if  $x_i$  is labelled as bounded. Each clause  $r_i$  is processed. For one such clause,  $l$  simplified rules (for  $k = 0$  to  $k = l - 1$ ) are constructed:  $p(\tilde{x}_0) \leftarrow \bigwedge_{0 \leq j \leq k} c_j, p(\tilde{x}_k)$ . One can assume that the constraint  $C_{ij} = \bigwedge_{0 \leq j \leq k} c_j$  is satisfiable, already projected onto  $\tilde{x}_0 \cup \tilde{x}_k$ , only contains inequalities of the form  $\leq$ , and implies  $\tilde{x}_0 \geq 0$  and  $\tilde{x}_k \geq 0$ . Such a simplified rule gives rise to the following (pseudo-)linear programming problem

$$\text{minimize } \theta = \mu^T (\tilde{x}_0 - \tilde{x}_k) \text{ subject to } C_{ij} \quad (1)$$

A valid linear level mapping  $\mu$  exists (at least for this recursive call of this clause) if  $\theta^* \geq 1$  where  $\theta^*$  denotes the minimum of the objective function. Unfortunately, because of the symbolic constants  $\mu$ , (1) is *not* a linear programming problem.

The *clever* idea of the authors is to consider its dual form:

$$\text{maximize } \eta = \tilde{y}\beta \text{ subject to } \tilde{y} \geq 0 \wedge \tilde{y}A \geq (\mu, -\mu) \quad (2)$$

By duality theory (see [37] for instance), we have  $\theta^* = \eta^*$ . Now, the authors observe that  $\mu$  appears linearly in the dual problem (it is not true for (1)) because no  $\mu_i$  appears in  $A$ . Hence (2) can be rewritten, by adding  $\eta \geq 1$  and  $\tilde{\mu}^b \geq 0 \wedge \tilde{\mu}^u = 0$ , as  $S_{ij}$ , a set of linear inequations. If the conjunction  $S_p = \bigwedge_{i,j} S_{ij}$  for each recursive call and for each clause defining  $p/n$  is satisfiable, then there exists a valid linear level mapping for  $p/n$ .

### 5.3 An extension of SVG

Instead of checking satisfiability of  $S_p$ , we can project it onto  $\mu$  (we do not need the top-down boundedness analysis explained subsection 5.2, all arguments are assumed bounded). Hence we get in one constraint *all* the valid linear level mappings. It remains to compute the maximal elements of  $\Pi_\mu(S_p)$ , given the partial order:  $\mu^1 \succeq \mu^2$  if  $\forall i \in \llbracket 1; n \rrbracket \mu_i^1 \neq 0 \rightarrow \mu_i^2 \neq 0$ .

*Example 1.* For `app/3`, let  $\mu(x, y, z) = ax + by + cz$ . We have  $\Pi_\mu(S_p) = \{a + c \geq 1\}$ . There are two maximal elements:  $\mu^1(x, y, z) = x$  and  $\mu^2(x, y, z) = z$ .

In some sense, given a model for a program, this extension is complete. But a more precise model can lead to more maximal elements. Hence the precision of the inferred CLP model is important. From an implementation point of view, this algorithm heavily relies on the costly projection operator. We found that a good strategy is to project constraints as soon as possible.

## 6 Conclusion

We have presented the main algorithms of *cTI*, our bottom-up left-termination inference tool for logic programs and given some running for standard LP termination programs and middle-sized logic programs. The analysis requires three fixpoint computations and the inference of well-founded orders. We have described some optimizations and measured their impacts.

We have compared the quality of the results obtained by *cTI* with three other top-down termination checkers. Our termination inference tool is able in all cases (although we manually tuned *cTI* four times) to infer a larger class of terminating queries. On the other hand, the running times of *cTI* are also more important, but termination inference is a much more general problem than termination checking. In the worst case, an exponential number of termination checks are needed to simulate termination inference.

Right now, *cTI* can not directly infer termination for some programs, e.g. *CHAT*, as suggested by P. Tarau. A more detailed look to this program written by F.C.N. Pereira and D.H.D. Warren shows that it contains one scc of 30 mutually recursive predicate symbols with 8 arguments per predicate symbol on the average. We cannot compute a numeric model for *CHAT* using the constraint solver of SICStus Prolog (the  $\text{CLP}(\mathbb{Q})$  solver is itself written in SICStus Prolog) in reasonable time. So we add for each computation which may be too costly (see also [9]) a timeout and if necessary we are able to return a value which does not destroy the correctness of the analysis (this is another widening!). The point is that the theoretical framework [31] only requires to have a  $\text{CLP}(\mathbb{N})$  model and an upper approximation of the  $\text{CLP}(\mathcal{B})$  least model. The drawback of this approach is that, in such a case, the quality of the inference is poorer. As a side effect, *the running time of cTI is now linear with respect to the number of sccs in the call graph*. We point out that *CHAT* is the only natural example we know which requires such a mechanism, although F. Henderson notified us of a similar scc in the code of the Mercury compiler [39]. Finally, Table 4 points out that most ( $> 75\%$ ) of the analysis time lies in numeric computations. Hence we plan to link SICStus Prolog with specialized C libraries (e.g. a tool for polyhedra manipulations and a simplex solver optimized wrt to projection).

We are also developing another line of research where we try to prove the *optimality* of the termination conditions computed by *cTI*. Instead of looking for general classes of logic programs for which the analysis is complete, we try, for each particular (pure) logic program, to prove that the termination condition derived by *cTI* is as general as it can be (modulo the language describing the termination conditions). We have already implemented the analysis (called *nTI* for *non-Termination Inference*, available at the same URL than *cTI*) and its formalization is in progress.

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